

**OFFICE OF THE COMPTROLLER OF THE CURRENCY**  
**EXAMINATIONS**  
**PRIVACY IMPACT ASSESSMENT**

**A. Contact Information**

- **Name of system/application:** Examinations
- **Office of Responsibility:** Examinations
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**B. Qualification Questions:**

- 1) **Does this system collect any personal information in identifiable form about individuals?** Yes.
- 2) **Does the public have access to the system?** No.
- 3) **Has a PIA been done before?** No, this is a new requirement.
- 4) **Has it been at least three years since the last PIA was performed?** No.
- 5) **Has the system changed since the last PIA was performed?** N/A.

**C. Data in the System/Application**

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- 1) **Describe the information to be collected, why the information is being collected, the intended use of the information, and with whom the information will be shared.** A Major Application comprising program code and business logic that provides a repository and decision tools to support national bank examiners define and determine the safety and soundness of the national banking systems and support a consistent approach to bank supervision.
- 2) **What are the sources of the information in the system?** Examinations, banks, government agencies, and OCC employees.
- 3) **How will the data collected from sources other than Federal agency records or the individual be verified for accuracy?** No individually identifiable personal information is collected from entities outside the Federal Government.
- 4) **Who will have access to the data and how is access determined?** Access is determined on a need-to-know or role basis. Please refer to the OCC Examinations System Security Plan.
- 5) **Describe the administrative and technological controls that are in place or that are planned to secure the information being collected.** The Examinations major application has management, operational, and technical controls in place to secure collected information. Some of the areas of protection include: Rules of Behavior, Security controls, Security Awareness Training, Physical and Environmental controls, Production Input/Output controls, Contingency Planning, Data Integrity controls, Identification and Authentication controls, and Logical Access controls. Refer to the Examinations System Security Plan for further details for protection controls.
- 6) **What opportunities will individuals have (if any) to decline to provide information or to consent to particular uses of the information?** The Examinations applications do not directly collect Privacy Act information from members of the public.
- 7) **What is the life expectancy of the data and how will it be disposed of when it is no longer needed?** The life expectancy varies by application. In some cases, this is governed by statute or regulation.
- 8) **Does the collection of this information require a new system of records under the Privacy Act (5 U.S.C. § 552a) or an alteration to an existing system of records?**  
No.

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The Following OCC Officials Have Approved this Document:

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